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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/927,628	08/10/2001	Andrew H. Pritchard	CF/ 024

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**CONFIRMATION NO. 5363**  
**FORMALITIES LETTER**



\*OC000000006557688\*

Date Mailed: 09/14/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice MUST be returned with the reply.*

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**PART 2 - COPY TO BE RETURNED WITH RESPONSE**

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PATENTS  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLIACTION

Applicant : Andrew H. Pritchard

Application No.: 09/927,628 Confirmation No.: 5363

Filed : August 10, 2001

For : SYSTEMS AND METHODS FOR DEVELOPING  
AND ADMINISTERING INVESTMENT TRUSTS

Group Art Unit : 2162

Examiner : Not Yet Assigned

New York, New York 10020  
September 25, 2001

Commissioner for Patents  
Washington, D.C. 20231

Attention: Box Missing Parts

RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:

Responsive to the Notice to File Missing Parts  
of Application mailed September 14, 2001, applicant  
hereby submits the following:

1. A copy of the September 14, 2001 Notice to  
File Missing Parts;
2. Executed Declaration and Power of  
Attorney; and
3. A check in the amount of \$130.00 in  
payment of the surcharge.

The Director is hereby authorized to charge payment of any additional fees due in connection with the papers transmitted herewith, or to credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this paper is enclosed.

Respectfully submitted,



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I Hereby Certify that this Correspondence is being Deposited with the U.S. Postal Service as First Class Mail in an Envelope Addressed to:  
COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231 on

September 25, 2001  
Lillian Garcia

Lillian Garcia  
Signature of Person Signing